



Attorney Docket No.: UM-06609

PATENT

1635

Box Seq-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James R. Baker, Jr.  
Serial No.: 09/940,243  
Filed: 08/27/01  
Entitled: Multifunctional Nanodevice Platform

Group No.: 1635  
Examiner: Lacourciere, K.

**RESPONSE TO NOTICE TO COMPLY WITH  
REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR  
AMINO ACID SEQUENCE DISCLOSURES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

| CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)(1)(i)(A)  |   |
|---|---|
| I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |   |
| Date: <u>November 3, 2003</u>   | By: <u>Susan M. McClintock</u><br>Susan M. McClintock |

Sir or Madam:

In response to the Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures mailed 10/03/2003 (a copy of which is enclosed), applicant submits the following documents:

- ▶ Substitute Sequence Listing in paper copy and on a computer-readable diskette;
- ▶ Certificate re: Sequence Listing; and
- ▶ Preliminary Amendment.

The Commissioner is hereby authorized to charge payment of any fee(s) associated this communication, and/or credit any overpayment, to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: November 3, 2003

David A. Casimir  
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